田轩

清华大学五道口金融学院

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教育背景

2008 波士顿学院 金融学 博士学位
 2003 华盛顿大学 经济学 硕士学位
 2001 北京大学 经济学 学士学位

工作经历

- 清华大学
- 2014 至今 五道口金融学院金融学讲席教授,博士生导师
- 2018 至今 五道口金融学院副院长
- 2024 至今 国家金融研究院院长
- 2021 至今 学术委员会委员
- 2021 至今 智库中心管委会委员
- 2023 至今 国家治理研究院管委会委员
- 2024 至今 公益慈善研究院管委会委员
- 2017 至今 教育部"长江学者"特聘教授
- 2021-2024 国家金融研究院副院长
- 美国印第安纳大学

2008-2016 凯利商学院, 教授(终身教职)、副教授(终身教职)、助理教授

主要社会兼职

- 2023 至今 第十四届全国人大代表
- 2023 至今 国家自科基金委管理学部第九届专家咨询委员会委员
- 2020-2023 深交所第一届创业板并购重组委员会委员
- 2021-2023 深交所第一届创业板上市委员会委员
- 2016-2019 证监会上市公司并购重组委员会委员

主要研究领域

公司金融、创业投资、企业创新、并购重组

学术期刊主编

2018 - 至今 联席主编,亚太金融研究期刊

2021 - 至今 联席主编,公司金融期刊

- 2014 至今 副主编,管理科学
- 2015 至今 副主编,银行与金融期刊
- 2018 至今 副主编, 中国经济评论
- 2018 至今 副主编,金融稳定期刊
- 2021 至今 编委, 基础研究期刊
- 2022 至今 顾问主编,中国会计与财务研究
- 2022 至今 副主编,经济学报
- 2020 2023 编委会成员,会计评论
- 2019-2022 执行主编,中国会计与金融评论
- 2018-2020 副主编, 公司金融期刊
- 2015-2019 副主编,亚洲泛太平洋金融期刊
- 2014-2019 副主编, 国际金融评论
- 2015-2018 副主编, 金融评论
- 2013-2017 副主编,亚太金融研究期刊
- 2017 客座主编,亚太金融研究期刊创业金融与技术创新专刊
- 2016 客座联席主编,亚洲泛太平洋金融期刊家族企业的经济优势专刊

荣誉与奖项

- 国家杰出青年科学基金延续资助(首批), 2024
- 第十九届"良师益友"奖,清华大学, 2024
- 全球前2%顶尖学者,斯坦福大学和爱思唯尔, 2024
- CFA 协会可持续投资领域最佳论文奖,2024 Australian 银行与金融会议, 2024
- 上海市第十六届哲学社会科学优秀成果奖学科学术优秀成果奖论文类二等 奖, 2023
- The Bureau van Dijk (Moody's Analytics) 公司金融领域最佳论文奖, 2023
 Australian 银行与金融会议, 2023
- 2023科睿唯安全球高被引科学家, 2023
- 复旦管理学杰出贡献奖, 2022
- 爱思唯尔中国高被引学者, 2023, 2022, 2021
- 2021年中国十大最受尊敬商学院教授,《世界企业家杂志》, 2022
- MIT亚洲会计年会最佳论文奖, 2022
- 清华大学精品课程(《公司金融》), 2022
- 北京市第十六届哲学社会科学优秀成果奖二等奖,2021
- 中国青年经济学家奖,2021
- "商学院十大最受欢迎教授"称号, MBA China, 2021
- 教育部第八届高等学校科学研究优秀成果奖(人文社会科学)二等奖, 2020
- 中国管理学协会年度会议最佳论文奖,2020
- 詹森最佳论文奖 Jensen Prize (一等奖) in Journal of Financial Economics, 2019
- Korean Investment & Securities Co., Ltd. Outstanding Paper Award in the 14th International Conference on Asian-Pacific Financial Markets, 2019
- 清华大学研究生精品课程(《公司金融》), 2019
- 国家杰出青年科学基金, 2018

- 卓越青年科学家, 2018
- Korean Investment & Securities Co., Ltd. Outstanding Paper Award in the 13th International Conference on Asian-Pacific Financial Markets, 2018
- 北京市无党派人士建言献策优秀成果特等奖,2018
- 第十六届"良师益友"奖,清华大学, 2018
- 青年教师教学优秀奖,清华大学, 2018
- Samsung Securities Co., Ltd. Outstanding Paper Award in the 12th International Conference on Asian-Pacific Financial Markets, 2017
- 教育部"长江学者"特聘教授, 2016
- 中国管理学青年奖, 2016
- "学术新人"奖,清华大学, 2016
- 第十五届"良师益友"奖,清华大学, 2016
- Best Paper Award in the Delaware Corporate Governance Symposium, 2016
- Honorable Mention, IRRC Institute Research Award, 2015
- Kelley School of Business Research Excellence Award, 2015
- Semifinalist for Best Paper Award in Asian FMA Annual Meetings, 2015
- Kelley School of Business Research Excellence Award, 2014
- 詹森最佳论文奖 Jensen Prize (2nd place) in Journal of Financial Economics, 2012
- Kelley School of Business Research Excellence Award, 2012
- Best Paper Award in Financial Institutions & Markets at FMA Annual Meetings, 2012
- Semifinalist for Best Paper Award in Financial Institutions & Markets at FMA Annual Meetings, 2013
- Semifinalist for Best Paper Award in Corporate Finance at FMA Annual Meetings, 2012
- Semifinalist for Best Paper Award in Corporate Finance at FMA Annual Meetings, 2011
- Semifinalist for Best Paper Award in Financial Institutions & Markets at FMA Annual Meetings, 2011
- Indiana University CIBER Faculty Research Grant, 2011-2012
- Nominated for the Trustee Teaching Awards at Indiana University, 2010
- NBER Entrepreneurship Research Boot Camp Participant, NBER, 2008
- McGraw-Hill/Irwin Best Corporate Finance Paper Award at Southern Finance Association Meetings, 2007
- Best Doctoral Student Paper Award at Southwestern Finance Association Meetings, 2007
- Doctoral Student Consortium Participant, Financial Management Association, 2007
- Graduate Student Fellowship, Department of Finance, Boston College, 2003-2008
- University Fellowship, Department of Economics, University of Washington, 2001-2003
- 新生奖学金, 北京大学, 1997

发表学术论文 (谷歌学术引用 15,000+, SSRN下载91,000+)

- [1] "The Causes and Consequences of Venture Capital Stage Financing," 2011, *Journal of Financial Economics*, Vol. 101 (1), 132-159.
 - ➤ Winner of Jensen Prizes (2nd prize) of Journal of Financial Economics
 - [2] "The Role of Venture Capital Syndication in Value Creation for Entrepreneurial Firms," 2012, *Review of Finance*, Vol. 16 (1), 245-283.
- [3] "Preparing the Equity Market for Adverse Corporate Events: A Theoretical Analysis of Firms Cutting Dividends," (with Thomas Chemmanur), 2012, *Journal of Financial and Quantitative Analysis*, Vol. 47 (5), 993-972.
- [4] "The Dark Side of Analyst Coverage: The Case of Innovation," 2013, (with Jie He), 2013, *Journal of Financial Economics*, Vol. 109 (3), 856-878.
- [5] "Tolerance for Failure and Corporate Innovation," (with Tracy Wang), 2014, *Review of Financial Studies*, Vol. 27 (1), 211-255.
- [6] "Financial Development and Innovation: Cross Country Evidence," 2014, (with Po-Hsuan Hsu and Yan Xu), *Journal of Financial Economics*, Vol. 112 (1), 16-135.
- [7] "Communicating Private Information to the Equity Market before a Dividend Cut: An Empirical Analysis," (with Thomas Chemmanur), *Journal of Financial and Quantitative Analysis*, forthcoming.
- [8] "Corporate Venture Capital, Value Creation, and Innovation," 2014, (with Thomas Chemmanur and Elena Loutskina), *Review of Financial Studies* 27, 2434-2473
- [9] "Does Stock Liquidity Enhance or Impede Firm Innovation?" 2014, (with Vivian Fang and Sheri Tice), *Journal of Finance*, Vol. 69 (5), 2085-2125.
- [10] "Does Banking Competition Affect Innovation?" 2015, (with Jess Cornaggia, Yifei Mao, and Brian Wolfe), *Journal of Financial Economics*, Vol. 115 (1), 189-209.
- [11] "Disciplining Delegated Monitors: When Venture Capitalists Fail to Prevent Fraud by Their IPO Firms" (with Gregory Udell and Xiaoyun Yu), *Journal of Accounting and Economics*, Vol. 61 (2-3), 526-544.
- [12] "Location, Proximity, and M&A Transactions" (with Ye Cai and Han Xia), *Journal of Economics & Management Strategy*, Vol. 25 (3), 688-719
- [13] "Do Unions Affect Innovation?" (with Daniel Bradley and Incheol Kim), *Management Science*, Vol. 63 (7), 2251-2271.
- [14] "How do Foreign Institutional Investors Enhance Firm Innovation?" (with Luong Hoang Luong, Fariborz Moshirina, Lily Nguyen, and Bohui Zhang), 2017, *Journal of Financial and Quantitative Analysis*, Vol. 52 (4), 1449-1490
- [15] "What Affects Innovation More: Policy or Policy Uncertainty?" (with Utpal Bhattacharya, Po-Hsuan Hsu, and Yan Xu), *Journal of Financial and Quantitative Analysis*, Vol. 52 (5), 1861-1901.

- [16] "Bank Interventions and Firm Innovation: Evidence from Debt Covenant Violations," (with Yuqi Gu and Connie Mao), 2017, *Journal of Law and Economics* Vol. 60 (4). 637-671
- [17] "Accessibility and Materialization of Firm Innovation," (with Ning Jia), 2018, *Journal of Corporate Finance*, Vol. 48 (1), 515-541.
- [18] "Do Antitakeover Provisions Spur Corporate Innovation? A Regression Discontinuity Analysis," (with Thomas Chemmanur), 2018, *Journal of Financial and Quantitative Analysis*, Vol. 53 (2), 1-32.
- [19] "How Does Hedge Fund Activism Reshape Corporate Innovation?" (with Alon Brav, Wei Jiang, and Song Ma), 2018, *Journal of Financial Economics*, Vol. 130 (2), 237-264
 - ➤ Winner of **Jensen Prizes** (1st prize) of *Journal of Financial Economics*
- [20] "Corporate Innovation along the Supply Chain," (with Yongqiang Chu and Wenyu Wang), 2019, *Management Science*, Vol. 65(6), 2445-2466
- [21] "The Real Effect of Privatization: Evidence from China's Split Share Structure Reform," (with Yongxian Tan, Xinde Zhang, and Hailong Zhao), 2020, *Journal of Corporate Finance*, Vol. 64 (3), 1-23
- [22] "Asymmetric Cost Behavior and Dividend Policy," (with Jie He, Huan Yang, and Luo Zuo), 2020, *Journal of Accounting Research*, Vol. 58 (4), 989-1021
- [23] "Peer Monitoring, Syndication, and the Dynamics of Venture Capital Interactions: Theory and Evidence," (with Onur Bayar and Thomas Chemmanur), 2020 *Journal of Financial and Quantitative Analysis*, Vol. 55 (6), 1875-1914
- [24] "Financial Reporting Frequency and Corporate Innovation," (with Renhui Fu, Arthur Kraft, Huai Zhang, and Luo Zuo), 2020, *Journal of Law and Economics*, Vol. 63 (3), 501-530
- [25] "Stock Market Liberalization and Innovation," (with Fariborz Moshirian, Bohui Zhang, Wenrui Zhang), 2021, *Journal of Financial Economics*, Vol. 139 (3), 985-1014.
- [26] "Hard Marriage with Heavy Burdens: Organized Labor as Takeover Deterrents," (with Wenyu Wang), 2021, *Review of Corporate Finance Studies*, Vol. 10 (2), 306-346.
- [27] "Human-Robot Interaction: When Investors Adjust the Usage of Robo-Advisors in Peer-to-Peer Lending" (with Ruyi Ge, Li Liao, and Eric Zheng), 2021, *Information Systems Research*, Vol. 32 (3), 774-785.
- [28] "Does Customer-base Structure Influence Managerial Risk-taking Incentives?" (with Jie Chen, Xunhua Su, and Bin Xu), 2022, *Journal of Financial Economics*, Vol. 143 (1), 462-483.
- [29] "How Does Human Capital Matter? Evidence from Venture Capital," (with Lifeng Gu, Ruidi Huang, and Yifei Mao), 2022, *Journal of Financial and Quantitative Analysis*, Vol. 57 (6), 2063-2094.
- [30] "Patent Quality, Firm Value, and Investor Underreaction: Evidence from Patent

- Examiner Busyness" (with Tao Shu and Xintong Zhan), 2022, *Journal of Financial Economics*, Vol. 143 (3), 1043-1069.
- [31] "Do Place-based Programs Affect Local Innovation and Entrepreneurship?" (with Jiajie Xu), 2022, *Review of Finance*, Vol. 26 (3), 595-635.
- [32] "Do Venture Capital Investors Learn from Public Markets?" (with Bibo Liu), *Management Science*, Vol. 68 (10), 7274-7297.
- [33] "The Role of Human Capital: Evidence from Corporate Innovation," (with Tong Liu and Yifei Mao), *Journal of Empirical Finance*, 2023, Vol. 74, 1-20.
- [34] "Do Short Sellers Affect Corporate Innovation? Evidence from a Policy Experiment," (with Jie He and Xiao Ren), *Review of Corporate Finance Studies*, 2024, Vol. 70, 8556-8582.
- [35] "The Real Effects of Shadow Banking: Evidence from China," (with Guoqian Tu and Yichu Wang), *Management Science*, forthcoming.
- [36] "Does Political Uncertainty Affect Venture Capital?" (with Yichu Wang and Kailei Ye), *Review of Corporate Finance Studies*, forthcoming.
- [37] "Does Access to Patent Information Help Technological Acquisitions? Evidence from Patent Library Openings," (with Connie Mao, Yueru Qin, and Chi Zhang), *Journal of Accounting Research*, forthcoming.
- [38] "Invest Local or Remote? The Effects of COVID-19 Lockdowns on Venture Capital Investment around the World" (with Pengfei Han, Chunrui Liu, and Kexin Wang), *Management Science*, forthcoming.
- [39] "Trademarks in Entrepreneurial Firm Success: Theory and Evidence from Venture Capital Investments in Private Firms" (with Onur Bayar, Thomas Chemmanur, Harshit Rajaiya, and Qianqian Yu), *Entrepreneurship Theory and Practice*, forthcoming.
- [40] "What a Relief: How do Firms Respond to Competitors' Listing Delays?" (with Ning Jia, Jiaqi Qian, and Jinxin Yu), *Contemporary Accounting Research*, forthcoming.
- [41] "Information Spillovers and Cross Monitoring between the Stock Market and Loan Market," (with Matthew Billett and Fangzhou Liu), *Journal of Banking and Finance*, forthcoming.

发表综述论文及章节

- [42] "Finance and Corporate Innovation: A Survey," (with Jie He), 2018, *Asia-Pacific Journal of Financial Studies*, Vol. 47 (2), 165-212
- [43] "China's Venture Capital Market," (with Zhaojun Huang), 2020, in *The Handbook of China's Financial Systems*, Edited by Marlene Amstad, Guofeng Sun, and Wei Xiong, Princeton Press
- [44] "Institutions and Innovation: A Review of Recent Literature," (with Jie He), 2020, *Annual Review of Financial Economics*, Vol. 12 (2), 377-398

- [45] "Stage Financing in Venture Capital," (with Zhaojun Huang), 2023, in *The Palgrave Encyclopedia of Private Equity*, Edited by Douglas Cumming and Benjamin Hammer, Springer
- [46] "Corporate Governance and Innovation: A Survey of Chinese Evidence," (with Zhaojun Huang), 2025, in *The Handbook of Corporate Governance in China*, Edited by Martin Conyon and Lerong He, Edward Elgar

发表中文论文

- [47] "股权激励计划能促进企业技术创新么?" (与孟清扬合作), 2018, **南开管理评论** (3), 176-190
- [48] "金融科技与分析师市场" (与丁娜、金婧合作), 2020, 经济研究 (9), 74-89
- [49] "常态化防疫"阶段我国经济现状与基于科技的应对之策"(与陈卓、刘碧波合作), 2020, 中国科学基金(6), 724-732
- [50] "互联网技术普及下的金融市场与创新:基于全球视角下的检验" (与黄兆君合作), 2021, **计量经济学报** (3), 541-559
- [51] "中国高校创新成果转移及对创新的影响——以专利转让为例"(与刘春蕊合作),2023,**管理科学学报**(9),23-40
- [52] "推进共同富裕的金融逻辑与路径研究"(与丁娜合作),2023,**四川大学学报**(哲学社会科学版)(246),71-79
- [53]"供应链金融与企业效率升级——来自上市公司公告与地方政策文件的双重证据"(与成程、徐照宣合作),2023,**金融研究**(6),132-149
- [54] "金融科技赋能下供应链金融对企业价值的影响研究:来自大数据文本分析的证据"(与成程、杨胜刚合作),2024, 管理科学学报(2),95-119
- [55]"专利的可质押性对企业创新的影响"(与刘春蕊合作),2024,**会计研究**(2),99-112
- [56] "对外开放制度创新、全球创新网络嵌入与中国科技国际影响力"(与成程、 王一出、张军合作),2024, **管理世界**(10), 16-35
- [57] "数字足迹与违约预测:基于消费信贷的证据"(与胡俊、李强、曾勇、刘嵩合作),**管理科学学报**,录用待刊
- [58] "金融服务科技创新与经济高质量发展中的挑战与展望",**中国科学基金**, 录用待刊

工作论文

- [59] "Do Major Corporate Customers Deter Corporate Misconduct?" with Jie Chen, Xunhua Su, Bin Xu, and Luo Zuo
 - > R&R, Journal of Accounting and Economics
- [60] "Do Shared Auditors Facilitate Follow-on Innovation?" with Jiawen Yan and Luo Zuo
 - > R&R, Journal of Accounting Research
- [61] "The Incentives of SPAC Sponsors," with Felix Feng, Thomas Nohel, Wenyu Wang, and Yufeng Wu

- > R&R, Journal of Financial Economics
- > On the 2024 AFA program
- > Bureau van Dijk Best Paper Awards in Corporate Finance, Australian Banking and Finance Conference
- [62] "Does Trading Spur Specialization? Evidence from Patenting," with Pengfei Han and Chunrui Liu
 - > R&R, Management Science
 - > On the 2023 NBER Chinese Economy program and 2022 AFA program
- [63] "House Speculation and Entrepreneurship," with Yichu Wang
 - R&R, Review of Finance
- [64] "Acquiring Innovation," with Merih Sevilir and Che Zhang
 - > R&R, Review of Corporate Finance Studies
 - > On the 2012 AFA program
- [65] "Resurrecting Dead Capital: The Sharing Economy, Entrepreneurship, and Job Creation," with Yifei Mao, Jiajie Xu, and Kailei Ye
 - > On the 2022 NBER Entrepreneurship program
- [66] "Financial Market Structure and Corporate Decisions: A Laboratory of Dark Trading," with Jonathan Brogaard, Woon Sau Leung, and Khaladdin Rzayev
- [67] "Pay Transparency and Firm Leverage," with Woon Sau Leung and Vathunyoo Sila
- [68] "A Robust Green Patent Database: A New Dataset of Green Patents Through Large Language Model," with Yuan Sun and Yuanchen Yang
 - On the 2024 NBER Innovation Information Technical Working Group Meeting
- [69] "The Salience of Climate Change and Green Patents Review," with Jay Cao, Tao Shu, Yajing Wang, and Xintong Zhan
 - > CFA Institute Beset Paper Award on Sustainable Investment, Australian Banking and Finance Conference
- [70] "Judical Transparency and Entrepreneurship: Evidence from Mass Publicity of Chinese Court Decisions," with Xing Liu and Zhiming Zhu
- [71] "Interfirm Collaboration, Ownership Stakes, and Hold-up Costs: Evidence from the Pharmaceutical Industry," with Matthew Billett and Ioannis Floros
- [72] "Rent Seeking, Brokerage Commissions, and the Pricing and Allocation in Initial Public Offerings," with Thomas Chemmanur and Bibo Liu
 - On the 2018 NBER program
- [73] "Less is More: Institutional Investors and Corporate Venture Capital," with Dongxu Li, Yifei Mao and Kailei Ye
 - > On the 2021 AFA program
- [74] "Do Product Market Threats Discipline Corporate Misconduct?" with Jie Chen, Bin Xu, Xunhua Su and Xiaoyu Zhang
 - Featured on Columbia Law School's Blog on Corporations and Capital Markets:
 - https://clsbluesky.law.columbia.edu/2023/05/19/does-market-competition-discipline-corporate-misconduct/

- [75] "Environmental Risk and Green Innovation: Evidence from Evacuation Spills," with Yongqiang Chu and Alice Liu
- [76] "Are Passive Institutional Investors Engaged Monitors or Risk-Averse Owners?" with Yuanchen Yang
- [77] "Unleashing Innovation," with Yifei Mao and Xiaoyun Yu
 - > On the 2016 AFA program

专著和译著

- [1]《创新的资本逻辑》,北京大学出版社, 2018
- [2] "Finance and Corporate Innovation: Advancing Innovation from Sustainable Growth: Implications for Asia," (与 Bihong Huang and Bohui Zhang 共同编著), Edward Elgar Press, 2020
- [3]《中国金融创新再出发》(合作者: 黄益平、林毅夫、杨凯生、肖刚、沈艳、黄卓、郭凯),中信出版社,2020
- [4]《风险投资,一部美国历史》(作者: Tom Nicholas, 哈佛大学), 中信出版社, 2020
- [5]《创新的资本逻辑》(第二版),北京大学出版社,2021
- [6]《风险投资史》(塞巴斯蒂安·马拉比著),浙江教育出版社,2022
- [7] 《不完美的理性人:公司金融通识课》,机械工业出版社,2023.

学术会议主旨演讲

2024: 21st Conference on Financial Systems Engineering and Risk Management, Capital University of Economics and Business

China Finance Scholar Forum, Central University of Finance and Economics Academic Conference on SMEs Development, Renmin University Shuangqing Forum, National Natural Science Foundation of China 3rd China Finance Frontier Research Forum, Xiamen University The 10th "Jingshi" Scholar Forum, Tsinghua University and Peking University

- 2023: Fudan Management Academic Forum, Fudan University 2nd China Finance Frontier Research Forum, Nankai University 1st China Corporate Finance Summer Forum, Nankai University
- **2022:** China Entrepreneurs Forum (Tianjin)

The 7th International Conference on Fintech and Regulation (Virtual)

The 10th China Annual Meetings of Investment (Virtual)

The Greater China Area Finance Conference (Virtual)

The 8^{th} "Jingshi" Scholar Forum (Beijing), Tsinghua University and Peking University

Asian Financial Management Meetings Doctoral Consortium (Virtual)

Digital Innovation and Financial Access for Enterprises (Virtual)

Fintech, Innovation, and Risk Management Summer Research Boot Camp (Virtual)

2021: Annual Meetings of China Journal of Accounting Research (Virtual) 4th China Accounting and Finance Conference (Virtual) Advanced Research Institute at University of Mucus Forum (Virtual)

2020: China Finance and Accounting Scholar Forum (Virtual)

The 6th "Jingshi" Scholar Forum (Virtual), Tsinghua University and Peking University

Summer Research Boot Camp on Corporate Finance and Financial Intermediation (postponed)

15th International Conference on Asia-Pacific Financial Markets Doctoral Consortium

2019: 10th Asia Pacific Innovation Conference

China Accounting and Financial Innovation Forum

Summer Research Boot Camp on Corporate Finance and Financial Intermediation

Research Symposium on Accounting and Finance

14th International Conference on Asia-Pacific Financial Markets Doctoral Consortium

2018: 13th International Conference on Asia-Pacific Financial Markets

NSFC Annual Conference for Young Scholars, Guangdong Technology University

Summer Research Boot Camp on Corporate Finance and Financial Intermediation

2017: Ph.D. Forum, Australian Banking and Finance Conference, Sydney Australia Winter Finance Conference, Renmin University

China Finance Review International Conference, Shanghai Jiaotong University The 14th International Conference on Service Systems and Service Management, Dalian

Summer Research Boot Camp on Entrepreneurial Finance and Financial Intermediation

2016: Conference on the Macroeconomy of China and the World, Fudan University International Finance and Monetary Policy Conference, Nottingham University (Ningbo)

Summer Research Boot Camp on Entrepreneurial Finance and Financial Intermediation

Summer Research Boot Camp, Shanghai Jiaotong University Conference on Chinese Capital Markets, Peking University

2015: Ph.D. Forum, Australian Banking and Finance Conference, Sydney Australia The Inaugural "Jingshi" Scholar Forum (Beijing), Tsinghua University and Peking University

- **2014:** CEAR/Finance Department Symposium at the Georgia State University HKUST Entrepreneurship and Finance Conference, Hong Kong, China Research Camp for Young Scholars, Renmin University, Beijing, China
- 2012: Tsinghua International Corporate Governance Conference, Beijing, China
- **2011:** Canadian Conference on Economics of Entrepreneurship and Innovation, Kingston, Canada

教学经历

课程

- 公司金融(硕士),清华大学,2014秋-2024秋
- 高级金融前沿问题 (博士),清华大学,2015秋-2018, 2020春-2025春
- 全球私募股权市场 (MBA, EMBA, 高管教育),清华大学, 2015春-2025 春, 2015秋-2024秋
- 公司金融(MBA,高管教育),清华大学,2016春,2018春-2024春,2016 秋-2024秋
- 公司治理(高管教育),清华大学,2016春秋,2017春
- 金融学研究方法(MBA, 高管教育),清华大学,2014秋,2021秋-2024
 秋
- 企业财务战略与公司治理, 印第安纳大学, 2008-2011秋季, 2013-2014春季
- 中级公司金融,印第安纳大学,2008年秋季
- 金融学基础 ,波士顿学院,2007年秋季
- 初级微观经济学,华盛顿大学,2002年夏季

客座教授

• 印第安纳大学,公司金融博士研讨会

博士学位论文委员会主席 (最初毕业去向)

- 张澈 (清华大学, 2024)
- 王子铭(财政部,2024)
- 刘宇(中国建设银行,2024)
- 赵侦蓉(中国光大银行,2024)
- 吴泽文(国投资本, 2024)
- 欧阳方家(高盛集团,2023)
- 王一出(宁波诺丁汉大学,2023)
- 于毅 (高级经理,中信集团,2022)
- 崔东琪 (国家安全部, 2022)
- 刘春蕊 (对外经济贸易大学, 2021)
- 钱佳琪 (国家发展改革委员会, 2021)
- 李理(方正证券, 2021)
- 杨元辰 (IMF 经济学家, 2020)
- 隗玮 (芝加哥大学博士后, 2020)
- 李响(赴美攻读金融学博士,2019)

- 赵文庆(广发基金管理有限公司, 2019)
- 丁娜(中央财经大学, 2018)
- 孟清扬 (华夏基金, 2018)
- Yifei Mao (康奈尔大学, 2015)
- Brian Wolfe (纽约州立大学布法罗分校, 2014)

博士后(出站去向)

- 张铧兮 (南开大学)
- 黄志宏 (华南理工大学)
- 刘晨冉(中国人民大学)
- 何超(业界)
- 彭云峰(上海交通大学)
- 周政达(业界)
- 吴圣金(中共广东省委党校)

博士学位论文委员会委员(毕业去向)

- Kenneth Weakley (金融学,印第安纳大学,2014)
- Bader Alhashel (金融学,科威特大学, 2012)
- Alexander Borisov (金融学,辛辛那提大学,2012)
- Daniel S. Kim (金融学,中国北京大学, 2012)
- Eun Seok Han (经济学, 韩国POSCO研究院, 2011)

博士论文开题审查委员会外部成员

- Gabby Wang (Accounting, Indiana University, 2013)
- Kailei Ye (Finance, University of North Carolina at Chapel Hill, 2020)
- Jiajie Xu (Finance, Boston College, 2022)
- Xiang Li (Finance, Boston College, 2024)

教学助理

- 2005-2007 波士顿大学,高级公司金融研讨会(博士生课程),公司金融研讨会(博士生课程),高级公司金融(MBA课程),金融经济计量学(MBA课程),金融学基础(本科课程)
- 2002-2003 华盛顿大学,微观经济学入门(本科课程)

校内外服务

- 全球金融研究所,国际和区域合作者及顾问委员会成员,2024至今
- 五星金融论坛,理事长,2024至今
- 国家自然科学基金委重大专项项目"中国经济发展的理论与实践"(第二期) 专家指导组成员,2024~2027
- 国家自然科学基金委专项项目群"基于数据与行为的金融系统建模分析"专家指导组成员,2024~2027
- 欧洲管理与技术学院学术委员会委员, 2023-至今

- 国家自科基金重大项目"数字经济反垄断理论与政策研究"学术委员会成员, 2022-2026
- 国家自然科学基金委重大专项项目"中国经济发展的理论与实践"(第一期) 专家指导组成员,2022-2025
- 国家自科基金委管理学部发展与战略咨询委员会委员 2019-2021
- Coordinator, Asia/Pacific Financial Management Association Ph.D. Consortium, 2018
- 咨询专家,世界银行,2017
- Advisory Board Member, FMA Survey & Synthesis Series, 2016-2018
- 国际学术期刊评审人

American Economic Review, Journal of Finance, Journal of Financial Economics, Review of Financial Studies, Journal of Financial and Quantitative Analysis, Management Science, The Accounting Review, Review of Finance, Review of Corporate Finance Studies, Research Policy, Journal of Financial Intermediation, Journal of Money, Credit, and Banking, International Economic Review, Journal of Economics & Management Strategy, Journal of Corporate Finance, Journal of Economic Geography, Journal of Business Venturing, Journal of Banking and Finance, China Economic Review, Economics Letters, Financial Management, Journal of Empirical Finance, Journal of Business Finance and Accounting, Finance Research Letters, European Economic Review, European Financial Management, Financial Review, Journal of Legal Studies, Journal of Economics and Business, Pacific-Basin Finance Journal, Financial Review, Quarterly Review of Economics and Finance, Corporate Governance: An International Review, International Business Review, China Finance Review

- ••主席, 五星金融论坛(2024~)
- •评审联合主席:中国金融学术年会(CFRC)(2025, 2024、2023、2022)
- •评审联合主席: 中国金融前沿研究会议(2025, 2024, 2023, 2022)
- •大会联合主席: 自然科学基金委双清论坛(2024)
- •联合主席: 五星金融会议(2022)
- •评审联合主席:数字经济年会(2022)
- •大会联合主席: RFS-PBCSF Conference on Entrepreneurial Finance and Innovation Around the World (2015)
- •评审委员会和会议主席:中国金融国际年会 CICF (2024, 2023、2022、2021、2020、2019、2018、2017、2016、2014、2013)
- •评审委员会成员:SFS Cavalcade 北美会议(2025, 2023,2012)
- •评审委员会成员: GSU-RFS FinTech Conference (2023)
- •以色列科学基金会评审(2023年)
- •评审委员会成员: Summer Institute of Finance (2024, 2023、2022、2021、2020、2019、2018、2017)
- •评审委员会成员: Northern Finance Association Meetings (2024, 2023, 2022, 2021, 2020, 2019)
- •评审委员会成员:中国金融科技研讨会 (2024、2023、2022、2021、2019)
- 评审委员会成员:中国金融学者研讨会 (2024, 2023, 2022, 2021, 2020, 2019, 2018)

- •国家自然科学基金评审(2024、2023、2022、2021、2020、2019、2018)
- "长江学者"奖励计划评审(2024、2023、2022、2021、2020、2019、2018、2017)
- •香港研究资助局外部评审(2024、2023、2022、2021、2020、2019、2018、2017、2016、2015、2014、2013、2012、2011)
- •奖项评审委员会成员: 成思危奖(2023、2021、2018)
- •评审委员会成员,分会主席:中国金融学术年会(CFRC)(2021、2019、2018、2017、2016)
- •评审委员会成员:财务管理协会年会(2020, 2019, 2018, 2017, 2016, 2015, 2014, 2013, 2012, 2011, 2009, 2008)
- •评审委员会成员:西部金融协会年会(2019、2018、2017、2016、2015、2014)
- •评审委员会成员:SFS 亚太会议(2019、2018、2017、2016)
- •联合主席:创业金融与金融中介暑期研究训练营(2019、2018、2017、2016)
- •评审委员会成员: CUHK-RCFS 企业融资与金融中介会议(2019)
- •评审委员会成员:澳大利亚金融与银行会议(2018、2017)
- •评审委员会成员:孙冶方金融创新奖(2018、2016、2015)
- •评审委员会成员:中国金融评论国际会议(2018)
- •评审委员会成员:欧洲金融协会年会(2017、2016、2015)
- •评审委员会成员:MIT 亚洲会计年会(2017)
- •评审委员会成员:亚洲财务管理协会会议(2017、2016、2015、2014)
- •中西部金融协会会议主席(2017年)
- •评审委员会成员:金融经济与会计年会(2016、2015、2011)
- •2015年新加坡教育部社会科学研究专题资助项目外部评审
- •联合主席: RFS 赞助的全球创业融资和创新会议(2015年)
- •评审委员会成员:第七届欧洲银行中心会议(2015)
- •加拿大社会科学与人文研究理事会外部评审员(2014、2013、2010)
- "Entrepreneurial Finance" 书籍提纲审稿人, Gary Gibbons, Robert Hisrich, 和 Carlos Silva 著, SAGE 出版社 (2012)
- •评审委员会:中西部金融协会会议(2009)
- •评审委员会:东部金融协会会议(2008, 2007)
- "Venture Capital and Private Equity Contracting: An International Perspective" 审稿人, Douglas Cumming、Sophia Johan 著, Elsevier 出版社(2008 年出版)
- •项目委员会成员: Southern Finance Association 年会(2007)
- •Finance Department Doctoral Committee, 凯利商学院, 2013 2014
- •Finance Department Seminar Series Coordinator, 凯利商学院, 2011 2013
- •Finance Department Undergraduate Committee, 凯利商学院, 2010 2011; 2013 2014
- Judge for Kelley Global Scholar Case Competition, 凯利商学院, 2014
- •Undergraduate Policy Committee, 凯利商学院, 2013 2014
- •Research Policy Committee, 凯利商学院, 印第安纳大学, 2012 2013
- •Honors Program Committee, 凯利商学院, 印第安纳大学, 2011 2012